

Atty.  
Dkt. No.

M#

Client Ref.

290534

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

Applicant: KWOK et al.

Appln. No.: 10/068,963

Filing Date: February 11, 2002

Date: August 14, 2002

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of

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Examiner: Darwin P. Erez

Group Art Unit: 3761

**U.S. PATENT DOCUMENTS**

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
	AR					
	BR					
	CR					
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	MR					
	NR					

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**FOREIGN PATENT DOCUMENTS**

		Document Number	Date MM/YYYY	Country	Inventor Name		Abstract		Readily Available	
							Enclosed	No	Enclose	No
	OR									
	PR									
	QR									
	RR									
	SR									
	TR									
	UR									

**OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

DE	VR	"ResMed Sullivan Mirage - The Mirage is Real - A Perfect Fit - First Time," product brochure ©ResMed Limited 1997, 4 pages			
1	WR	Mirage Spare Parts Brochure, 1997, 2 pages			
	XR				
	YR				
	ZR				
	AAR				

Examiner

*James Go*

Date Considered:

6/12/02

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**U.S. PATENT DOCUMENTS**

Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
JE	AR	4,794,921	01/1989	Lindkvist			
	BR	5,148,802	09/1992	Sanders et al.			
	CR	6,082,360	07/2000	Rudolph et al.			
	DR	H397	01/1988	Stark			
	ER	1,206,045	11/1916	Smith			
	FR	2,465,973	03/1949	Bulbulian			
	GR	3,227,159	01/1966	Borgia et al.			
	HR	3,521,630	07/1970	Westberg et al.			
	IR	3,680,555	08/1972	Warncke			
	JR	4,174,710	11/1979	Pampuch			
	KR	4,574,799	03/1986	Warncke			
	LR	5,921,239	07/1999	McCall et al.			
	MR	6,119,693	09/2000	Kwok et al.			
	NR	5,220,699	06/1993	Farris			
	OR	6,357,441	03/2002	Kwok et al.			
	PR	4,414,973	11/1983	Matheson et al.			
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	RR						
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	WR						
	XR						

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**FOREIGN PATENT DOCUMENTS**

		Document Number	Date MM/YYYY	Country	Inventor Name		English Abstract	Translation Readily Available
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	ZR							

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*Darwin P. Erez*

Date Considered:

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Examiner: D. Erez

Group Art Unit: 3761

**U.S. PATENT DOCUMENTS**

Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
DE	AR	2,166,164	07/1939	Lemberg			
	BR	3,330,273	07/1967	Bennett			
	CR	5,003,633	04/1991	Itoh			
	DR	4,803,981	02/1989	Vickery			
	ER	4,454,880	06/1984	Muto et al.			
	FR	5,724,965	03/1998	Handke et al.			
	GR	6,112,746	09/2000	Kwok et al.			
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	JR						
	KR						
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	MR						
	NR						

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**FOREIGN PATENT DOCUMENTS**

		Document Number	Date MM/YYYY	Country	Inventor Name		English Abstract		Translation Readily Available	
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DE	OR	195 48 380 A1	12/1994	Germany						
	PR	0 634 186 A2	01/1995	Europe						
	QR	0 303 090	07/1988	Europe						
	RR									
	SR									
	TR									
	UR									
	VR									
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	XR									

**OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

	YR									
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	BBR									
	CCR									
	DDR									

Examiner

*Jan P. Erez*

Date Considered:

*6/12/03*

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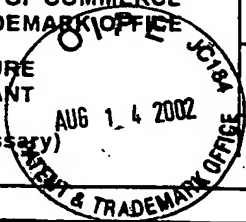
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U.S. PATENT DOCUMENTS

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JK	781,516	01/31/05	Guthrie			
	812,706	02/13/06	Warbasse			
	1,081,745	12/16/13	Johnston et al.			
	1,192,186	07/25/16	Greene			
	<del>1,653,592</del>	<del>12/20/27</del>	<del>Jackson</del>			
JK	1,653,572	12/20/27	Jackson			
	1,926,027	09/12/33	Biggs			
	2,123,353	07/12/38	Catt			
	2,248,477	07/08/41	Lombard			
	2,254,854	09/02/41	O'Connell			
	2,317,608	04/27/43	Heidbrink			
	2,371,965	03/20/45	Lehmberg			
	2,376,871	05/24/45	Fink			
	2,415,846	02/18/47	Randall			
	2,438,058	03/16/48	Kincheloe			
	2,578,621	12/11/51	Yant			
	2,931,356	04/05/60	Schwarz			
	Des. 188,084	05/31/60	Garellick			
	2,939,458	06/07/60	Lundquist			
	3,013,556	12/19/61	Galleher			
	3,189,027	06/15/65	Bartlett			
	3,238,943	03/08/66	Holley			
	3,315,674	04/25/67	Bloom, et al.			
	3,362,420	01/09/68	Blackburn, et al.			
	3,363,833	01/16/68	Laerdal			
	3,556,122	01/19/71	Laerdal			
	3,580,051	05/25/71	Blevins			
	3,700,000	10/24/72	Hesse, deceased et al.			
	3,720,235	03/13/73	Schrock			
	3,796,216	03/12/74	Schwarz			

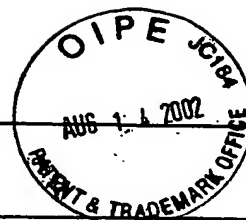
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## U.S. PATENT DOCUMENTS

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DE	3,799,164	03/26/74	Rollins			
	Des. 231,803	06/11/74	Huddy			
	4,077,404	03/07/78	Elam			
	Des. 250,131	10/31/78	Lewis, et al.			
	4,167,185	09/11/79	Lewis			
	4,226,234	10/07/80	Gunderson			
	4,245,632	01/20/81	Houston			
	4,304,229	12/08/81	Curtin			
	Des. 262,322	12/15/81	Mizerak			
	4,328,797	05/11/82	Rollins, et al.			
	4,347,205	08/31/82	Stewart			
	4,354,488	10/19/82	Bartos			
	4,402,316	09/06/83	Gadberry			
	4,412,537	11/01/83	Tiger			
	4,467,799	08/28/84	Steinberg			
	4,522,639	06/11/85	Ansite, et al.			
	4,558,710	12/17/85	Eichler			
	4,616,647	10/14/86	McCreadie			
	4,622,964	11/18/86	Flynn			
	4,655,213	04/07/87	Rapoport, et al.			
	4,665,570	05/19/87	Davis			
	4,671,271	06/09/87	Bishop, et al.			
	4,677,977	07/07/87	Wilcox			
	4,677,975	07/07/87	Edgar et al.			
	Des. 293,613	01/05/88	Wingler			
	4,739,755	04/26/88	White et al.			
	4,770,169	09/13/88	Schmoegner, et al.			
	4,774,941	10/04/88	Cook			
	4,782,832	11/08/88	Trimble, et al.			
	4,799,477	01/24/89	Lewis			
	4,809,692	03/07/89	Nowacki et al.			
	4,819,629	04/11/89	Jonson			
	4,821,713	04/18/89	Bauman			
	4,841,953	06/27/89	Doddrill			
	4,848,334	07/18/89	Bellm			
	4,848,366	07/18/89	Aite, et al.			

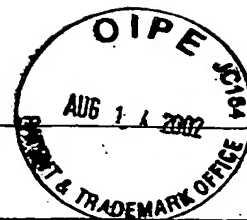
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## U.S. PATENT DOCUMENTS

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BE	4,907,584	03/13/90	McGinnis			
	4,910,806	03/27/90	Baker et al.			
	4,919,128	04/24/90	Kopala, et al.			
	4,938,210	07/03/90	Shene			
	4,938,212	07/03/90	Gnook et al.			
	4,944,310	07/31/90	Sullivan			
	Des. 310,431	09/04/90	Bellm			
	4,971,051	11/20/90	Toffolon			
	4,986,269	01/22/91	Hakkinen			
	4,989,599	02/05/91	Carter			
	5,005,571	04/09/91	Dietz			
	5,005,568	04/09/91	Loescher, et al.			
	5,038,776	08/13/91	Harrison, et al.			
	5,042,473	08/27/91	Lewis			
	5,042,478	08/27/91	Kopala, et al.			
	5,046,200	09/10/91	Feder			
	5,063,922	11/12/91	Hakkinen			
	5,069,205	12/03/91	Urso			
	Des. 323,908	02/11/92	Hollister et al.			
	5,109,840	05/05/92	Daleiden			
	5,109,839	05/05/92	Blasdell et al.			
	5,121,745	06/16/92	Israel			
	5,133,347	07/28/92	Huennebeck			
	5,140,982	08/25/92	Bauman			
	5,159,938	11/03/92	Laughlin			
	5,178,138	01/12/93	Walstrom et al.			
	Des. 334,633	04/06/93	Rudolph			
	5,231,983	08/03/93	Matson et al.			
	5,233,978	08/10/93	Callaway			
	5,243,971	09/14/93	Sullivan et al.			
	5,265,595	11/30/93	Rudolph			
	5,279,289	01/18/94	Kirk			
	5,280,784	01/25/94	Kohler			
	5,311,862	05/17/94	Blasdell et al.			
	5,322,057	06/21/94	Raabe et al.			
	5,343,878	09/06/94	Scarberry, et al.			

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EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RE	5,357,951	10/25/94	Ratner			
	5,372,130	12/13/94	Stern et al.			
	5,388,571	02/14/95	Roberts et al.			
	5,404,871	04/11/95	Goodman et al.			
	5,419,318	05/30/95	Tayebi			
	5,429,683	07/04/95	Le Mitouard			
	5,429,126	07/04/95	Bracken			
	5,431,158	07/11/95	Tirotta			
	5,438,981	08/08/95	Starr, et al.			
	5,441,046	08/15/95	Starr, et al.			
	Des. 362,061	09/05/95	McGinnis et al.			
	5,477,852	12/26/95	Landis et al.			
	5,479,920	01/02/96	Piper et al.			
	5,488,948	02/06/96	Dubruille, et al.			
	5,492,116	02/20/96	Scarberry, et al.			
	5,501,214	03/26/96	Sabo			
	5,509,404	04/23/96	Lloyd et al.			
	5,517,986	05/21/96	Starr et al.			
	5,538,000	07/23/96	Rudolph			
	5,540,223	07/30/96	Starr, et al.			
	5,542,128	08/06/96	Lomas			
	5,560,354	10/01/96	Berthon-Jones, et al.			
	Re. 35,339	10/01/96	Rapoport			
	5,570,689	11/05/96	Starr et al.			
	5,570,682	11/05/96	Johnson			
	Des. 377,089	12/31/96	Starr, et al.			
	5,592,938	01/14/97	Scarberry et al.			
	5,608,647	03/04/97	Rubsamen et al.			
	5,642,730	07/01/97	Baran			
	5,647,355	07/15/97	Starr et al.			
	5,647,357	07/15/97	Barnett et al.			
	5,649,532	07/22/97	Oren Griffiths			
	5,649,533	07/22/97	Griffiths Oren			
	5,655,527	08/12/97	Scarberry et al.			
	5,655,520	08/12/97	Howe et al.			
	5,657,752	08/19/97	Landis et al.			



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EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JE	5,657,493	08/19/97	Ferrero et al.			
	5,662,101	09/02/97	Ogden et al.			
	5,666,946	09/16/97	Langenback			
	5,685,296	11/11/97	Zdrojowski, et al.			
	5,687,715	11/18/97	Landis, et al.			
	5,715,814	02/10/98	Ebers			
	5,746,201	05/05/98	Kidd			
	5,813,423	09/29/98	Kirchgeorg			
	5,832,918	11/10/98	Pantino			

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	DOCUMENT NUMBER	PUBLISHED DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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JF	DE 459,104	04/26/28	Germany				
	1039144	09/26/28	Canadian				
	701 690	01/22/41	Germany				
	1,395,391	05/29/75	Great Britain				
	GB 1 467 828	03/23/77	Great Britain				
	WO 80/01044	05/29/80	PCT				
	DD 159396	06/04/81	Germany				
	EP 0 054 154	10/27/81	European				
	DE 3015279 A1	10/29/81	Germany				
	WO 82/03548	10/28/82	Sullivan				
	DE 3345067 A1	06/20/84	Germany				
	GB 2145335 A	03/27/85	Great Britain				
	GB 2147506 A	05/15/85	Great Britain				
	GB 2 164 569A	03/26/86	Great Britain				
	FR 2 574 657 A1	06/20/86	France				
	WO 86/06969	12/04/86	PCT				
	AU 64058/86	04/09/87	Australian				
	**WO 87/01950	04/09/87	PCT				
	DE 3537507 A1	04/23/87	Germany				
	DE 3539073 A1	05/14/87	Germany				
	EP 0 252 052	07/01/87	Europe				
	EP 0 264 772	10/13/87	Europe				
	EP 0 386 605 A1	02/28/90	European				
	WO 91/03277	03/21/91	PCT				
	DE 4004157 C1	04/11/91	Germany				
	EP0427474 A2	05/15/91	European				
	EP 0 462 701 A1	05/20/91	Europe				
	FR 2 658 725 A1	08/30/91	France				
	AU 91/77110 B	11/21/91	Australia				
	WO 92/15353	09/17/92	PCT				
	WO 92/20395	11/26/92	PCT				
	WO 93/01854	02/04/93	PCT				
	EP 0 602 424	11/25/93	European				
	GB 2 267 648 A	12/15/93	Great Britain				
	WO 94/02190	02/03/94	PCT				

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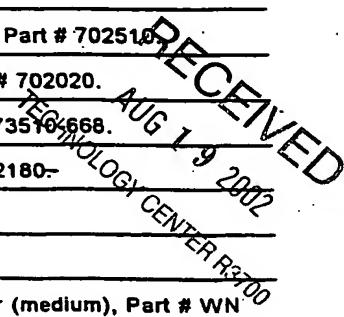
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	WO 94/20051	09/15/94	PCT				
	AU 94/64816 B	12/22/94	Australia				
	WO 95/02428	01/26/95	PCT				
	DE 4343205 A1	06/22/95	Germany				
	AU 95/16178 B	07/13/95	Australia				
	EP 0 697 225	07/13/95	European				
	AU A 32914/95	02/15/96	Australia				
	AU 9459430	02/29/96	Australia				
	EP 178 925 A2	04/23/96	European				
	WO 96/17643	06/13/96	PCT				
	WO 96/25983	08/29/96	PCT				
	EP 0 747 078 A2	12/11/96	Europe				
	WO 96/39206	12/12/96	PCT				
	WO 97/07847	03/06/97	PCT				
	JP 09/216240 A	08/19/97	Japan				
	WO 97/41911	11/13/97	PCT				
	FR 2 749 176	12/05/97	France				
	DE 197 35 359	01/29/98	Germany				
	EP 0 821 978	02/04/98	European				
	WO 98/04310	02/05/98	PCT				
	WO 98/11930	03/26/98	PCT				
	AU A 41018/97	04/30/98	Australia				
	WO 98/18514	05/07/98	PCT				
	WO 98/24499	06/11/98	PCT				
	WO 98/26829	06/25/98	PCT				
	WO 98/26830	06/25/98	PCT				
	DE 297 23 101	07/09/98	Germany				
	DE 298 10846 U1	08/20/98	Germany				
	WO 98/48878	11/05/98	PCT				
	AU A 89312/98	01/07/99	Australian				

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)



DE		Mask 1 Photographs, Respiration Inc., Reusable Full Mask (small) Part # 452033 Lot #951108.
		Mask 2 Photographs, Puritan - Bennett, Adam Curcuit, Shell Part # 231700, Swivel Part # 616329-00, Pillows (medium) Part #616324.
		Mask 3, Photographs, DeVilbiss Healthcare Inc., DeVilbiss Seal-Ring and CPAP Mask Kit (medium), Part 73510-669.
		Mask 4 Photographs, Respiration Inc., Monarch Mini Mask with Pressure Port. Part # 572004, Monarch Headgear, Part # 572011.
		Mask 5 Photographs, Healthdyne Technologies, Nasal CPAP Mask (medium narrow), Part # 702510.
		Mask 6 Photographs, Healthdyne Technologies, Soft Series Nasal CPAP Mask, Part # 702020.
		Mask 7 Photographs, DeVilbiss Healthcare Inc., Small Mask and Seal Rings, Part # 73510-668.
		Mask 8 Photographs, Respiration Inc., Reusable Contour Mask (medium), Part # 302180.
		Mask 9 Photographs, Healthdyne Technologies, Healthdyne Large Headgear.
		Mask 10 Photographs, Respiration Inc., Soft Cap (medium), Part # 302142.
		Mask 11 Photographs, Weinmann: Hamburg, Nasal maskensystem mit Schalldämpfer (medium), Part # WN 23105.
		Mask 12 Photographs, Life Care.
		Mask 13 Photographs, Healthdyne Technologies.
		Mask 14 Photograph, King System.
		Mask 15 Photographs, Respiration Inc., Paediatric Mask.
		Mask 16 Photographs, Hans Rudolph Inc., Hans Rudolph Silicone Rubber Face Mask/8900.



EXAMINER

*John P. Frey*

DATE CONSIDERED

*6/12/03*

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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(Modified) PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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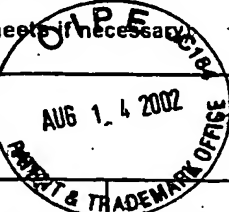
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SE	3,182,659	05/11/65	Blount et al.			
	3,193,624	07/06/65	Webb et al.			
	4,989,596	02/05/91	Macris et al.			
	5,140,980	08/25/92	Haughey et al.			
	5,546,936	08/20/96	Virag et al.			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLISHED DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc.)

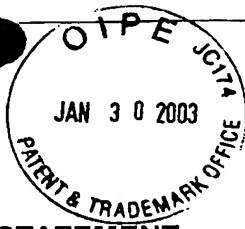

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Applicant: Kwok et al.

Appln. No.: 10/068,963

Filing Date: February 11, 2002

Date: January 30, 2003

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Examiner: Darwin P. Erez

Group Art Unit: 3761

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Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
DE	AR	5,349,949	09/1994	Schegerin			
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	CR	6,102,040	08/2000	Tayebi et al.			
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							Enclosed	No	Enclose	No
DE	NR	GB 2211098A	06/1989	Great Britain	Simpson				x	
I	OR	WO 94/19055	09/1994	PCT	Richards et al.				x	
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**OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

DE	SR	Respironics, Inc. "Nasal Mask and Accessories Guide," 12/23/91, 6 pages.			
	TR	"InterVENTions, A Ventilatory Care Newsletter," Vol. 93, No. 1, March 1993, Respironics, Inc., 16 pages.			
	UR	"Order" from Respironics, Inc. v. ResCare Limited et al. case, Civil Action No. 95-151, with Exhibits E and G related to information allegedly available before July 26, 1995, 20 pages.			
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	XR				

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\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

Applicant: Kwok et al.

Appln. No.: 10/068,963

Filing Date: February 11, 2002

Date: February 14, 2003

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Examiner: Darwin P. Erez Group Art Unit: 3761

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	Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
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I	OR 858749	12/1940	France			X		x
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